PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 5577-001 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 Į INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/052127 10 September 2004 ll September 2003 TITLE OF INVENTION Looped Optical Network with ASE Light Recirculatin and Link and Network... APPLICANT(S) FOR DO/EO/US BOGONI, Antonella; SACCHI, Giovanni; D'ERRICO, Antonio; and DI PASQUALE, Fabrizi Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). (unsigned) XX An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION	) 1571	5 0 6	PCT/EP2004/05		5577-001	KET NUMBER	
20. Other items or information:							
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21. XX Basic national fee (37 CFR 1.492(a))					\$ 300.00		
22. XX Examination fee (37 CFR 1.492(c))							
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations\$200					\$ 200.00		
23. 🔀 Search fee (37 CFR 1.492(b))							
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)							
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00		
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB							
All other situations							
	AL OF 21, 22 a		d in paper over 100 about /a	rolandia -			
sequence listi	ng in compliand	ce with 37 CFR	d in paper over 100 sheets (ex 1.821(c) or (e) or computer pr	rogram listing in an			
The fee is \$25	dium) (37 CFR <b>0</b> for each addi	1.492(j)). tional 50 sheet	s of paper or fraction thereof.				
Total Sheets Ext	Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)						
- 100 =	/50 =			× \$250	\$	1	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$		
CLAIMS	NUMBER	R FILED	NUMBER EXTRA	RATE	\$		
Total claims	20	- 20 =	0	x \$ 50	\$		
Independent claims	2	- 3 =	0	x \$200	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$		
TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					\$ 900.00		
	inali entity stati	us. See 37 CFI	( 1.27. Fees above are reduc				
SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest					\$ 900.00	<u></u>	
claimed priority date (3	37 CFR 1.492(i)	)).	adiolation later trial 50 month	+	\$		
TOTAL NATIONAL FEE =				\$ 900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
TOTAL FEES ENCLOSED =					\$ 900.00		
					Amount to be refunded:	\$	
					Amount to be charged	\$	

## 10/571506 IAP9 Rec'd PCT/PTO 10 MAR 2006

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a. <b>x</b> x	A check in the amount of \$ 900.00 to cover the above	fees is enclosed.					
ь. Ш	Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.	to cover the above fees.					
c. XX	The Commissioner is hereby authorized to charge any additional fees which Account No. <u>18-1167</u> . A duplicate copy of this sheet is enclosed.	may be required, or credit any overpayment to Deposit					
d. 🗀	Fees are to be charged to a credit card. WARNING: Information on this form be included on this form. Provide credit card information and authorization	n may become public. Credit card information should not n PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
Coa 140 Car	ALL CORRESPONDENCE TO: Dats & Bennett, PLLC  400 Crescent Green, Suite 300  Ary, NC 27511  USTOMER NUMBER 24112	SIGNATURE Stephen A. Herrera NAME 47,642 REGISTRATION NUMBER					

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: <b>Bogoni</b> <i>et al.</i>	)
Serial No.: TBA	)

EXPRESS MAIL CERTIFICATION LABEL NO.: EV 643634381 US

Filed: TBA

For: Looped Optical Network with ASE
Light Recirculation and Link and Network
Survivability

Docket No: 5577-001

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

**EXPRESS MAIL CERTIFICATE LABEL NO.: EV 643634381 US** 

DATE MAILED: March 10, 2006

I hereby certify that the enclosed Transmittal Letter to United States Designated/Elected Office Concerning a Submission Under 35 U.S.C. 371, WO 2005/025096, Declaration and Power of Attorney (unsigned), Preliminary Amendment, and our check number 17387 in the amount of \$900.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

COATS & BENNETT, P/1

Dated: March 10, 2006

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